# Green's function and eigenfunctions on random Sierpinski gaskets

Daniel Fontaine, Daniel J. Kelleher, and Alexander Teplyaev

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ABSTRACT. We study Green's function and eigenfunctions on random Sierpinski gaskets. We use a randomized algorithm that can produce all possible Dirichlet forms on the Sierpinski gasket. We prove that the limiting local regular Dirichlet form exists, which implies the existence of a self-adjoint Laplacian. Numerically we demonstrate that for low randomness, Green's function is bounded and continuous, but for high randomness it is unbounded and discontinuous. We also study localization of eigenfunctions, as well as distribution and spacing of eigenvalues.

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#### 1. Introduction

This paper analyzes random resistance networks or, equivalently, energy (Dirichlet) forms, on random Sierpiński gaskets. Such resistance networks can be described as certain limits of quantum graphs, as demonstrated in [62]. More precisely, we analyze the approximating sequences of quantum graphs which satisfy appropriate compatibility conditions. We use a specific algorithm, introduced in [51], that constructs all compatible Dirichlet forms based on a random choice of parameters. Defining an energy form on the Sierpiński gasket allows one to introduce such objects as Laplacian, diffusion processes etc.

It was proved in [51] that the harmonic functions are continuous with probability one. We prove that with probability one the random Sierpiński gasket has a homeomorphic harmonic embedding into the two dimensional Euclidean space, i.e. one can use a pair of harmonic functions as coordinates. Then it is possible to write the energy of a smooth function as the integral, with respect to a reference energy measure, of the norm squared of the gradient. Then one can represent the energy measure Laplacian as a second derivative in a sense. In addition, we show that, in harmonic coordinates, the angles of approximating triangles tend to zero with probability one at every junction point.

A computer program was written that executes the randomization algorithm and calculates resistances which define Dirichlet forms on the Sierpiński gasket. Certain questions that arise naturally in our examination: Do the calculated resistances form a log normal distribution? How does the data change when we alter the domain of the parameters? We demonstrate that individual resistance tend to zero if the disorder is small, but for larger disorders "most" of the resistances tend to zero, but there are some resistances that approach infinity. We conjecture that for small disorder, with probability one, the effective resistance topology coincides with the standard topology on the Sierpiński gasket. However, as the disorder gets larger, with probability one there are points in the Sierpiński gasket which are at infinite effective resistance distance from the boundary. Equivalently, for small disorder the diffusion process is point recurrent on the Sierpiński gasket, and for larger disorder the diffusion process is point recurrent on a proper subset of the Sierpiński gasket.

The analysis on self-similar fractals was first developed in the physics and engineering literature, see [2, 19, 28, 55, 56, 58, 14] and references therein. There are three mathematical books, [4, 37, 60], that provide background to the analysis on self-similar fractals. The following papers deal with the analysis on the Sierpiński gasket and other fractals which is relevant to our work: [11, 26, 27, 33, 34, 35, 36, 38, 49, 51, 53, 57, 59, 61, 62, 29, 10]. Also, there are many probabilistic works on the diffusions and random walks on self-similar fractals and graphs, see for instance [8, 17, 20, 47, 48] and references therein. It was recently demonstrated by Kigami, using the new theory of heat kernel estimates on metric measure spaces (see [5, 6, 7, 23, 39, 40, 46] and references therein), that the energy measure diffusion on the standard Sierpiński gasket has Gaussian asymptotics in harmonic coordinates [31, 32]. Spectral analysis on (deterministic) non-self similar fractals has garner garnered some attention [16, 3, 9, 30]. Random fractals, primarily various modifications of random Sierpiński gaskets, were considered in [24, 21, 22], although the randomization procedure was significantly different from our work.

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FIGURE 1. Sierpiński gasket with (left) the usual self-similar embedding and in (right) the standard, nonrandom, harmonic coordinates.

## 2. Energy forms and effective resistance

In this section we recall some basic facts from [37, 38] about limits of resistance networks. Although we state the results of this section for the Sierpiński gasket, they can be applied for general p.c.f. fractals with only minor changes.

If V is a finite set then an energy form  $\mathcal{E}$  on V can be defined by

$$\mathcal{E}(f,f) = \sum_{x,y \in V} c(x,y)(f(x) - f(y))^2$$

where  $c(x, y) \ge 0$  is called the conductance between x and y. Then the set V with the energy form  $\mathcal{E}$  is often called a finite resistance network where the resistance between x and y is defined as 1/c(x, y) if c(x, y) > 0 and infinity otherwise. It is assumed that c(x, x) = 0 for all  $x \in V$ , and that the network is connected in the sense that any pair of points can be connected by a sequence of positive conductances.

Suppose  $V_0 \subsetneq V_1 \subsetneq V_2 \subsetneq ...$  is an increasing sequence of finite sets, and an energy form  $\mathcal{E}_n$  is defined on each  $V_n$ . Then this sequence of resistance networks is called compatible if for any function  $f_n$  on  $V_n$  there is a function  $f_{n+1}$  on  $V_{n+1}$  such that

$$\mathcal{E}_n(f_n, f_n) = \mathcal{E}_{n+1}(f_{n+1}, f_{n+1})$$

and  $f_n$  is the restriction of  $f_{n+1}$  to  $V_n$ . Then it is easy to see that such  $f_{n+1}$  is unique, and that

$$\mathcal{E}_n(f\big|_{V_n}, f\big|_{V_n}) \leqslant \mathcal{E}_{n+1}(f, f)$$

for any function f on  $V_{n+1}$ . In this case each one can see that  $\mathcal{E}_n$  is equal to the so called trace on  $V_n$  of the energy form  $\mathcal{E}_{n+1}$ . Moreover,  $\mathcal{E}_n$  is equal to the so called trace on  $V_n$  of the energy form  $\mathcal{E}_{n+k}$  for any  $k \ge 0$ .

The limiting energy (Dirichlet) form  $\mathcal{E}$  on  $V_* = \bigcup_{n=0}^{\infty}$  is defined by

$$\mathcal{E}(f,f) = \lim_{n \to \infty} \mathcal{E}_n(f\big|_{V_n}, f\big|_{V_n}).$$



FIGURE 2. Networks  $\Gamma_0$  and  $\Gamma_1$ .

By definition the domain of  $\mathcal{E}$ , denoted by Dom  $\mathcal{E}$ , consists of all function for which this increasing limit is finite. It is not hard to see that  $\mathcal{E}_n$  is the trace on  $V_n$  of  $\mathcal{E}$  (see [50, 25] and references therein). It is also easy to see that every point of  $V_* = \bigcup_{n\geq 0} V_n$  has positive capacity, in the sense of [18].

## 3. Parametrization of energy forms on the Sierpiński gasket

In this section we provide brief background information about the construction of non self-similar Dirichlet forms on the Sierpiński gasket. More detailed description is given in [51].

Suppose we start with a triangle with vertices  $v_0$ ,  $v_1$ , and  $v_2$  and some initial resistance values between these points. In our notation resistance  $r_j$  connects points  $v_{j-1}$  and  $v_{j+1}$ , where j = 0, 1, 2, considering the indices mod(3), that is  $v_3$  is the same as  $v_0$  etc. Then this network is transformed by the  $\Delta - Y$  transformation into the upside down Y shape, which is our initial resistance network  $\Gamma_0$ . The formulas for  $\Delta - Y$  and  $Y - \Delta$  transformations are

$$R_j = \frac{r_{j-1}r_{j+1}}{r_0 + r_1 + r_2}$$

and

(3.1) 
$$r_j = \frac{R_0 R_1 + R_0 R_2 + R_1 R_2}{R_j}$$

respectively.

We choose parameters  $(\alpha_0, \alpha_1, \alpha_2) \in (0, 1)$  to split the resistances  $R_j$ , as in the left hand side of figure 2. Then create, using the  $Y-\Delta$  transform (3.1), an inner triangle with new resistance values  $\tilde{R}_0$ ,  $\tilde{R}_1$ , and  $\tilde{R}_2$ , as seen in the right hand side of figure 2. Then we choose parameters  $(\beta_0, \beta_1, \beta_2) \in (0, 1)$  to split these new resistances  $\tilde{R}_0$ ,  $\tilde{R}_1$ , and  $\tilde{R}_2$ . After that we have three Y-shaped networks, and we apply these steps to each of them independently. The effective resistances between vertices  $v_0$ ,  $v_1$ , and  $v_2$  remain the same under all these transformations. Moreover, the compatibility conditions of Section 2 hold in this case.

Note that it is convenient to label the  $3^k$  triangles of depth k by words of length k of the alphabet 0, 1, 2. For example, the whole Sierpiński gasket has an empty label; it is subdivided into three triangles with labels 0, 1 and 2. Each of these triangle, say labeled j, is subdivided into triangles j0, j1 and j2, and so on. The rule is that a depth k triangle labeled by word w is subdivided into three depth k + 1 triangles labeled w0, w1 and w2 in the natural order.

To obtain a parametric description of all compatible Dirichlet forms on  $\Gamma_k$  we just use the algorithm iteratively on each of the triangles on each depth up to k. To transform our networks, for each word w we chose a 6-dimensional vector  $\xi_w = (\alpha_0^w, \alpha_1^w, \alpha_2^w, \beta_0^w, \beta_1^w, \beta_2^w) \in$  $(0,1)^6$ ; the choices of the 6 parameters can be made independently for each w.

**Lemma 3.1** ([51]). The space of all Dirichlet forms on  $\Gamma_1$  compatible with a fixed Dirichlet form on  $\Gamma_0$  is a manifold of dimension 6. More generally, the space of all Dirichlet forms on  $\Gamma_k$  compatible with a fixed Dirichlet form on  $\Gamma_0$  is a manifold of dimension  $6(1+3+...+3^{k-1}) = 3(3^k - 1)$ .

**Theorem 1** ([51]). All the local regular resistance Dirichlet forms, in the sense of [38], on the Sierpiński gasket are in one to one correspondence, via the algorithm defined above, with the set of vectors  $\xi_w = (\alpha_0^w, \alpha_1^w, \alpha_2^w, \beta_0^w, \beta_1^w, \beta_2^w) \in (0, 1)^6$  where  $w \in \bigcup_{k=0}^{\infty} \{0, 1, 2\}^k$ .

**Example 3.2.** It is an easy exercise to show that if the resistances in the initial network  $\Gamma_0$  are equal to one, and for all *i* and *w* we set  $\alpha_i^w = \frac{2}{5}$ ,  $\beta_i^w = \frac{1}{2}$ , then in the network  $\Gamma_k$  all resistances are equal to  $\left(\frac{3}{5}\right)^k$ . This corresponds to the so called standard energy form on the Sierpiński gasket. According to the results of [51, 37, 38] this is the only, up to a constant multiple, local regular Dirichlet form on the Sierpiński gasket which satisfies the following two assumptions: points have positive capacity and the effective resistance topology coincides with the standard topology; locally the Dirichlet form on the Sierpiński gasket is self-similar with weights equal to  $\frac{3}{5}$ . Note that in this case the sub triangles have various energies, and so one can consider the distribution of energies even though this is a non random case. The histogram of this distribution can be found in [15]. This distribution is very interesting and has not been studied theoretically although papers [11, 54] contain some related results.

A computer program was written that executes the randomization of the algorithm of Section 3, and calculates resistances that define Dirichlet forms on the Sierpiński gasket. In particular, we are interested in how the data changes when we alter the domain of the parameters.

In our study we ran the program several times, and within each run the parameters  $(\alpha_0, \alpha_1, \alpha_2, \beta_0, \beta_1, \beta_2)$  were chosen at random uniformly from the following:

Nonrandom self-similar case:	$\alpha = .4, \beta = .5$	
Low randomness case:	$\alpha, \beta \in (.4, .6)$	(*)
High randomness case:	$\alpha, \beta \in (.1, .9)$	

As we increased the domains of random parameters, the resulting resistance networks and data changed significantly.

Each run of the program was done to a depth of 13, meaning one run consisted of executing the above three steps  $3^{13}$  times. Thus, the program produced data for the resistance networks on  $\Gamma_1, ..., \Gamma_9$ . In [15] we computed the mean, standard deviation, fourth moment, and 5th and 95th percentiles for each depth. One can see that individual resistance tend to zero if the disorder is small, but for larger disorders "most" of the resistances tend to zero, there are some resistances that approach infinity. The statistical distribution of resistances resembles log normal in Figure 5.



FIGURE 3. Density estimates of the variance of (from top to bottom) eigenfunctions in nonrandom, low randomness and high randomness cases at depth 13.

#### 4. Distribution of eigenvalues and localization of eigenfunctions

When dealing with random media, one of the natural questions is if the is Anderson type localization. Much work has focused on such localization, for example [52]. Our numerical results show that there is indeed very strong localization even with low randomness. Figure 4 demonstrates this most clearly by showing density estimates of the variance of eigenfunctions in nonrandom, low randomness and high randomness cases at depth 13. Figure 4 and Figure 4 also illustrate vividly that eigenfunctions with numbers #50, #51 are localized even in the low randomness case. The Anderson type localization is further confirmed by Figure 4 which shows Poisson type sample densities of the logarithmic spacing of eigenvalues in low



FIGURE 4. Pictures of the random eigenfunctions #1, #5, #50, #51 with low randomness.



FIGURE 5. Pictures of the random eigenfunctions #1, #5, #50, #51 with high randomness.

randomness and high randomness cases. Figure 4 shows sample densities of eigenvalues in nonrandom, low randomness and high randomness cases at depths 6, 7, 8.



FIGURE 6. Sample densities of the logarithmic spacing of eigenvalues in low randomness and high randomness cases at depth 8.



FIGURE 7. Sample densities of eigenvalues in nonrandom, low randomness and high randomness cases at depths 6, 7, 8.

#### 5. Harmonic functions on random Sierpiński gaskets

Harmonic functions play an important role in the analysis on fractals. A function h defined on a finite set V is said to be harmonic if

$$\sum_{y \in V} (h(x) - h(y))c(x, y) = 0$$

for every  $x \in V \setminus \partial V$ , where c(x, y) is the conductance between vertices x and y. Conductance is the reciprocal of resistance, so for any resistance R,  $c = \frac{1}{R}$ . If c(x, y) > 0, then x and y are said to be connected. On a connected resistance network a harmonic function is uniquely determined by it boundary values. In the case of the Sierpiński gasket it is the most natural and convienient to choose the boundary  $\partial V = \{v_0, v_1, v_2\}$  to be the three corners of the largest triangle.

The next proposition is a consequence of general results on traces of resistance forms, see for instance [13, 51, 37, 38] and Section 2.

**Proposition 5.1.** Suppose x is a vertex of a triangle of depth k and h is a harmonic function in the network  $\Gamma_m$ . Then h(x) is uniquely determined by the boundary values of h on  $\partial V = \{v_0, v_1, v_2\}$ , and is independent of m provided  $m \ge k$ .

**Theorem 2** ([51]). (1) Suppose that  $\xi_w = (\alpha_0^w, \alpha_1^w, \alpha_2^w, \beta_0^w, \beta_1^w, \beta_2^w) \in (0, 1)^6$  are independent identically distributed random 6-dimensional vectors indexed by the words w of finite length. Then with probability one harmonic functions are continuous.

(2) Suppose that there is  $\varepsilon > 0$  such that  $\alpha_j^w, \beta_j^w \in [\varepsilon, 1 - \varepsilon]$  for all w, j. Then harmonic functions are Hölder continuous with Hölder exponent  $1 - \varepsilon^2$ .

To define harmonic coordinates on the Sierpiński gasket S, we fix two harmonic functions  $h_1$  and  $h_2$ , which are linearly independent over constants. Then for each point  $x \in S$  we define

$$\psi: S \to \mathbb{R}^2,$$
  
$$\psi(x) = (h_1(x), h_2(x)).$$

For convenience we choose the harmonic functions  $h_1$  and  $h_2$  in such way that the boundary points of the Sierpiński gasket in harmonic coordinates are vertices of an isosceles triangle, that is  $h_1(v_0) = -1$ ,  $h_2(v_0) = 0$ ,  $h_1(v_1) = 0$ ,  $h_2(v_1) = \sqrt{3}$ ,  $h_1(v_2) = 1$ ,  $h_2(v_2) = 0$ .

In [35]  $\psi$  is proved to be a homeomorphism in the case of the standard Dirichlet form on the Sierpiński gasket (see Example 3.2). This Kigami's result can be generalized as follows

**Theorem 3.** Suppose that  $\xi_w = (\alpha_0^w, \alpha_1^w, \alpha_2^w, \beta_0^w, \beta_1^w, \beta_2^w) \in (0, 1)^6$  are independent identically distributed random 6-dimensional vectors indexed by the words w of finite length. Then with probability one the coordinate map  $\psi : S \to S_{\psi} := \psi(S)$  is a homeomorphism.

*Proof.* By Theorem 2 harmonic functions are continuous with probability one, and they separate points by [51, Lemma 5.3 and Proposition 6.1].

The next theorem says, essentially, that the angles of the curvilinear triangles that made the Sierpiński gasket in harmonic coordinates are zero.

**Theorem 4.** Suppose that  $\xi_w = (\alpha_0^w, \alpha_1^w, \alpha_2^w, \beta_0^w, \beta_1^w, \beta_2^w) \in (0, 1)^6$  are independent identically distributed random 6-dimensional vectors indexed by the words w of finite length. Then with probability one the following is true. Let  $\{T_k\}_{k=0}^{\infty}$  be a sequence of triangles in approximation



FIGURE 8. Samples of the random gaskets with low randomness (top) and with high randomness (bottom).



FIGURE 9. Green's functions of the nonrandom gasket.

to the harmonic gasket with  $T_{k+1} \subsetneq T_k$  for all k and which all share a corner. Then the the angle of the shared corner tends to zero as k tend to infinity.

*Proof.* The result follows from [51, Lemma 4.1, Theorem 4.3 and Theorem 5.5].

We conjecture that some of the results of Kusuoka proved in [47, 48, 11] and recent results by Hino [47, 48] hold also with probability one for our random Sierpiński gaskets. In particular, we conjecture that the energy measures are singular with probability one with respect to all the product (Bernoulli) measures, and that the random Sierpiński gasket is one dimensional in any generic point. The latter means that the matrix Z (in Theorem 6 below) has rank one  $\nu$ -almost everywhere.



FIGURE 10. Samples of Green's functions with low randomness (top) and with high randomness (bottom).



FIGURE 11. Density plot of resistances in low randomness (narrow curve) and with high randomness (wide curve) gaskets for depth 13.

## 6. Green's function and its local computation

Many questions related to such sequences of Dirichlet forms  $\mathcal{E}_n$  are studied in [37, 38] in detail. An important tool in this study is the so called effective resistance R, which is defined for any  $x, y \in V_*$  by

$$R(x,y) = \left(\min_{u} \{\mathcal{E}(u,u) | u(x) = 1, u(y) = 0\}\right)^{-1}.$$

Here minimum is taken over all functions on  $V_*$ . Note that  $x, y \in V_n$  for large enough n and R(x, y) does not change if  $\mathcal{E}$  is replaced by  $\mathcal{E}_n$  because of the compatibility condition. By [**37**, Theorem 2.1.14], R(x, y) is a metric on  $V_*$ . To avoid ambiguity, we may write, for example, R-continuity for continuity with respect to the effective resistance metric R. If  $\mathcal{E}(u, u) < \infty$  then u is R-continuous by [**37**, Theorem 2.2.6(1)]. The main ingredient of the proof of this fact is the following inequality, which follows directly from the definition of R,

$$|u(x) - u(y)|^2 \le R(x, y)\mathcal{E}(u, u).$$



FIGURE 12. Samples of the logarithm of the maximum of Green's functions with low randomness (top) and with high randomness (bottom) for depths 1-13.



FIGURE 13. Median of the logarithm of the maximum of Green's functions with low randomness (bottom curve) and with high randomness (top curve) over 50 runs for depths 1–13.

It implies, in particular, that any function of finite energy is R-Hölder continuous with respect to the effective resistance metric.

If  $\Omega$  is the *R*-completion of  $V_*$ , then any function in Dom  $\mathcal{E}$  is a restriction of an *R*continuous function on  $\Omega$ . In other words, if *u* is a function on  $V_*$  such that  $\mathcal{E}(u, u) < \infty$  then u has a unique continuation to  $\Omega$  that is R-continuous. We will denote this continuation by the same symbol u and the set of such functions by Dom  $\mathcal{E}$ .

An important question is whether  $\Omega$  is equal to the Sierpiński gasket S. The answer is positive if all the conductances tend to infinity. This happens, for example, in the case of a so called regular self-similar harmonic structure (see [34, 37]). Thus it is natural to say that a harmonic structure is regular if  $\Omega = S$  and nonregular otherwise. It is easy to see that a harmonic structure is regular if all the conductances tend to infinity, but the converse is not true. It is proved in [37, Proposition 3.3.2] that if harmonic functions are continuous then there is a continuous injective map  $\theta : \Omega \to S$  which is the identity on  $V_*$ . Therefore in this case we can (and will) consider  $\Omega$  as a subset of S. Then  $\Omega$  is the R-closure of  $V_*$ . In a sense,  $\Omega$  is the set where the energy form  $\mathcal{E}$  "lives". If  $\Omega$  is not just an abstract completion then the name "energy form on the Sierpiński gasket S" is more justified. Strictly speaking [37, Proposition 3.3.2] is formulated for self-similar harmonic structures, but self-similarity is not used in the proof.

It is proved in [37, Theorem 3.5.6] that if  $x \in \Omega$  then  $\{x\}$  has positive capacity. The converse of this statement is proved in [37] for any self-similar harmonic structure.

To define the Green's function we use the construction invented by Kigami for the selfsimilar harmonic structures. Let Green's function on  $V_n \setminus \partial V$  be defined as  $G_n = (X_n)^{-1}$ where  $X_n$  is the matrix of the energy form  $\mathcal{E}_n$ , and the inverse defined only for functions with zero boundary conditions. Then the compatibility condition implies that the restriction of Green's function on  $V_{n+1}$  to  $V_n$  is Green's function on  $V_n$ . Naturally, this allows to define Green's function on  $V_*$ , which is denoted by  $g(\cdot, \cdot)$ .

## Theorem 5 ([37, 38]).

- (1) g(x,y) = g(y,x) for all  $x, y \in V_*$ ;
- (2) g(x, y) > 0 for all  $x, y \in V_* \setminus \partial V$ ;
- (3) g(x, y) = 0 for all  $x \in \partial V$ ;
- (4)  $g(x, \cdot) \in \text{Dom } \mathcal{E}$ , in particular it is *R*-continuous;
- (5)  $\mathcal{E}(g(x, \cdot), h) = 0$  for any harmonic function h;
- (6)  $\mathcal{E}(g(x, \cdot), f) = f(x)$  for any  $f \in \text{Dom }\mathcal{E}$  which vanishes on the boundary;
- (7)  $g(\cdot, \cdot)$  has a continuation from  $V_* \times V_*$  to  $\Omega \times \Omega$ , in particular if  $x \in \Omega$  then  $g(x, x) < \infty$ .
- (8) For any probability measure  $\mu$  on  $\Omega$ , which is non zero on any nonempty open set, the energy form  $\mathcal{E}$  is a local regular Dirichlet form on  $L^2_{\mu}$ .

Note, again, that if harmonic functions are continuous then the this theorem holds for  $\Omega$  which is the *R*-closure of  $V_*$  in *S*. For discussion related to the last item of this theorem see also [62].

#### 7. Energy measure, eigenfunctions and approximation by quantum graphs

In this section we assume that a random Sierpiński gasket is homeomorphically embedded into  $\mathbb{R}^2$  using harmonic coordinates, which is possible by Theorem 5. In our exposition we follow [62]. For the background on quantum graphs and the interest they hold to physics see [44, 45, 1] and references therein.

We start with defining a different sequence of approximating energy forms. In various situations these forms are associated with so called quantum graphs, photonic crystals and



FIGURE 14. Pictures of the non-random energy measure eigenfunctions #1, #5, #50, #51.



FIGURE 15. Pictures of the random energy measure eigenfunctions #1, #5, #50, #51 with low randomness.

cable systems. If  $f\in C^1(\mathbb{R}^2)$  then we define

$$\mathcal{E}_{n}^{Q}(f,g) = \sum_{x,y \in V_{n}} c_{n,x,y} \mathcal{E}_{x,y}^{Q}(f,f)$$

where

$$\mathcal{E}^{Q}_{x,y}(f,f) = \int_{0}^{1} \left(\frac{d}{dt}f\left(x(1-t)+ty\right)\right)^{2} dt$$

is the integral of the square of the derivative

$$\frac{d}{dt}f(x(1-t)+ty) = \langle \nabla f(x(1-t)+ty), y-x \rangle$$



FIGURE 16. Pictures of the random energy measure eigenfunctions #1, #5, #50, #51 with high randomness.

of f along the straight line segment connecting x and y. Thus  $\mathcal{E}_{x,y}^{Q}(f, f)$  is the usual one dimensional energy of a function on a straight line segment. If f is linear then  $\mathcal{E}_{x,y}^{Q}(f, f) = (f(x) - f(y))^{2}$ . Therefore if f is piecewise harmonic then  $\mathcal{E}_{n}^{Q}(f, f) = \mathcal{E}_{n}(f, f)$  for all large enough n. Also  $\mathcal{E}_{n}^{Q}$  satisfies estimate

(7.1) 
$$\mathcal{E}_n(f,f) \leqslant \|f\|_{C^1(\mathbb{R}^m)}^2 \nu(S)$$

Therefore for any  $C^1(\mathbb{R}^2)$ -function we have

$$\lim_{n\to\infty}\mathcal{E}_n^Q(f,f)=\mathcal{E}(f,f)$$

by [62, Theorem 5].

**Theorem 6** ([62]). If f is the restriction to S of a  $C^1(\mathbb{R}^m)$  function then  $f \in \text{Dom } \mathcal{E}$ , and such functions are dense in Dom  $\mathcal{E}$ . In particular we have the Kigami formula

$$\mathcal{E}(f,f) = \int_{S} \langle \nabla f, Z \nabla f \rangle d\nu$$

for any  $f \in C^1(\mathbb{R}^m)$ , where Z is a positive trace one matrix defined  $\nu$ -almost everywhere.

It is easy to see that if g is a  $C^1(\mathbb{R}^2)$ -function vanishing on  $V_0$  and f is a  $C^2(\mathbb{R}^2)$ -function then

$$\mathcal{E}_{n}^{Q}(f,g) = \sum_{x,y \in V_{n}} c_{n,x,y} \int_{0}^{1} g\left(x(1-t) + ty\right) \left(\frac{d^{2}}{dt^{2}} f\left(x(1-t) + ty\right)\right) dt$$

because after integration by parts all the boundary terms are canceled.

By [38] there is a densely defined operator  $\Delta_{\nu}$ , called the energy Laplacian (which is self-adjoint with Dirichlet or Neumann boundary conditions), such that for any function  $g \in \text{Dom } \mathcal{E}$ , vanishing on the boundary  $V_0$ , and any function  $f \in \text{Dom } \Delta_{\nu}$ , we have the analog of the Gauss-Green formula:

$$\mathcal{E}(f,g) = -\int_{S} g\Delta_{\nu} f d\nu,$$

see [12, 18].

**Lemma 7.1** ([62]). If f is the restriction to S of a  $C^2(\mathbb{R}^2)$  function, and g is the restriction to S of a  $C^1(\mathbb{R}^2)$  function, then

$$|\mathcal{E}_n(f,g)| \leq const ||g||_{C^1(\mathbb{R}^2)} ||f||_{C^2(\mathbb{R}^2)} \nu(S).$$

**Theorem 7** ([62]). If f is the restriction to S of a  $C^2(\mathbb{R}^2)$  function then  $f \in \text{Dom } \Delta_{\nu}$ , and such functions are dense in  $\text{Dom } \Delta_{\nu}$ . Moreover,  $\nu$ -almost everywhere

$$\Delta_{\nu}f = \operatorname{Tr}\left(ZD^2f\right)$$

where  $D^2 f$  is the matrix of the second derivatives of f.

**Corollary 7.2.** If  $f(x) = ||x||^2$  then  $\Delta_{\nu} f = 1$ . Moreover,  $\Delta_{\nu} f \in L^{\infty}(S)$  for any  $f \in C^2(\mathbb{R}^2)$ .

One can also obtain Theorem 7 using the general theory of Dirichlet forms in [12, 18]. However there is a different constructive proof using the approximation by quantum graphs (see [62]).

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*E-mail address*: Daniel.Fontaine@uconn.edu *E-mail address*: Daniel.Kelleher@uconn.edu *E-mail address*: Alexander.Teplyaev@uconn.edu *URL*: http://www.math.uconn.edu/~teplyaev

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CONNECTICUT, STORRS CT 06269 USA