

See-Saw Swap Solitaire and Other Games on Permutations

Tom (“sVen”) Roby (UConn)

Joint research with Steve Linton, James Propp, & Julian West

Canada/USA Mathcamp
Lewis & Clark College
Portland, OR USA

29 July 2014



Slides for this talk are available online (or will be soon) at

<http://www.math.uconn.edu/~troby/research.html>

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- The intermediary game
- Right superior game
- Typical results
- The general framework
- Table of results
- Open Problems

- Mathematician and educator at UConn (University of Connecticut),
- specializing in Combinatorics, Algebra, & Math Ed;
- Worked with programs for K-12 teachers, high-ability HS students, and ugrads who want help with math-intensive courses.
- Other interests include: folkdancing, Japanese culture & linguistics, Bulgarian singing, . . .
- More at <http://www.math.uconn.edu/~troby>

See-Saw Swqp Solitaire

Shuffle the cards 1 through 6 and deal them out in a row.

3 1 4 6 5 2

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RULE: Interchange any two cards that have a card of intermediate rank lying (somewhere) between them.

GOAL: To put the cards in increasing order: 1, 2, 3, 4, 5, 6.

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EG: May we interchange 4 and 2 above?

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3 1 4 6 5 2

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GOAL: To put the cards in increasing order: 1, 2, 3, 4, 5, 6.

EG: May we interchange 4 and 2 above? NO.

EG: May we interchange 3 and 6 above?

See-Saw Swqp Solitaire

Shuffle the cards 1 through 6 and deal them out in a row.

3 1 4 6 5 2

RULE: Interchange any two cards that have a card of intermediate rank lying (somewhere) between them.

GOAL: To put the cards in increasing order: 1, 2, 3, 4, 5, 6.

EG: May we interchange 4 and 2 above? NO.

EG: May we interchange 3 and 6 above? YES, to get

6 1 4 3 5 2

21[^]3456

21[^]3456

2431[^]56

$2\underline{1}\hat{3}\underline{4}56$

$243\underline{1}\hat{5}\underline{6}$

$\underline{2}\hat{4}\hat{3}\underline{6}51$

21[^]3456

2431[^]56

2[^]43651

64[^]3251

21[^]3456

2431[^]56

2[^]43651

64[^]3251

14[^]3256

21[^]3456

2431[^]56

2[^]43651

64[^]3251

14[^]3256

123456

See-Saw Swap Solitaire Examples

213456 124356 314652

See-Saw Swap Solitaire Examples

213456 124356 314652

243156 624351 514632

243651 654321 512634

643251 634521 542631

143256 631524 245631

123456 136524 265431

5 moves 436521 263451

436125 213456

136425 ...

156423

153426

123456

11 moves

See-Saw Swap Solitaire Examples

213456	124356	314652
243156	624351	514632
243651	654321	512634
643251	634521	542631
143256	631524	245631
123456	136524	265431
5 moves	436521	263451
	436125	213456
	136425	...
	156423	12 moves
	153426	
	123456	
	11 moves	

Lots of questions naturally arise:

- 1 Are the number of moves listed above shortest possible?
- 2 Can the player always win or is it possible to get stuck?
- 3 What's the longest (# moves) an optimally played game can take?
- 4 What are good strategies or heuristics for winning?
- 5 Does the number of cards matter?

Who thinks the game gets easier with five cards? With seven?

One interesting case: Solve the Reverse Hand

7654321

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$$\begin{array}{c} \underline{7}65\hat{4}32\underline{1} \\ 1\underline{6}5\hat{4}32\underline{7} \end{array}$$

One interesting case: Solve the Reverse Hand

765⁴321

165⁴327

125⁴367

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765⁴321

165⁴327

125⁴367

1234567

3 moves

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How hard is reversing?

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765⁴321 65⁴321

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765⁴321 65⁴321

165⁴327 154326

125⁴367

1234567

3 moves

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765⁴321 65⁴321

165⁴327 154326

1254367 12⁴356

1234567

3 moves

How hard is reversing?

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765⁴321

65⁴321

165⁴327

154³26

1254367

12⁴356

1234567 Now what?

3 moves

How hard is reversing?

One interesting case: Solve the Reverse Hand

7654321 654321

1654327 154326

1254367 124356

1234567 Now what?

3 moves

So for n odd, reversing is quick, taking $\frac{n-1}{2}$ steps, but for n even it appears to be harder. Can one do better than $2 + 11$ for $n = 6$?

A *permutation* (on n) is an rearrangement of the numbers $1, 2, \dots, n$. We will think of permutations as “words” with no repeated letters made from this alphabet.

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Let S_n denote the set of all permutations on n .

One can also think of permutations as being “bijective functions from $\{1, 2, \dots, n\}$ to itself”, with a group structure given by composition of functions, but we don’t need that here.

So another way of expressing our game is as follows:

View permutations in S_n as words: $a_1 a_2 \cdots a_n$, e.g., $314652 \in S_6$, and allow moves of the following type:

If $a_i < a_j < a_k$ or $a_i > a_j > a_k$ for some $i < j < k$, then we may interchange (swap) a_i and a_k .

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	123456	

We can think of this game as creating a *graph*, whose vertex set is S_n , and with edges between any two permutations connected by a legal move. We are interested in questions about the connected components of this graph.

From a slightly more high-falutin' perspective, the transitive closure of the relations defined by these moves is an equivalence relation on the set of permutations. We are interested in the sizes and character of these equivalence classes.

$$n = 3, 4, 5, 6$$

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For $n = 3$ it's clear that the only legal move is $123 \leftrightarrow 321$, so there are 5 distinct equivalence classes under this relation.

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For $n \geq 6$ we get a single equivalence class (the “fully mixed” case), which of course contains the identity.

This leads to the following bar game:

- Demonstrate your skill at obtaining the identity from random permutations in S_6 using only the legal moves.
- Bet someone that they won't be able to do it.
- When they get stuck (quite likely), take pity on them and give them a “easier” permutation in S_5 .
- There's an 80% chance that a randomly chosen $\sigma \in S_5$ is NOT legally obtainable by this set of moves.

This example illustrates the basic questions we will be interested in, not just for this game, but for ones with other sets of rules P :

- Ⓐ Compute the number of equivalence classes $\#Classes^*(n, P)$ into which S_n is partitioned.
- Ⓑ Compute the size of $\#Eq^*(\iota_n, P)$ of the equivalence class containing the identity, ι_n .
- Ⓒ (More generally) characterise the set $Eq^*(\iota_n, P)$ of permutations equivalent to the identity.

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2, 4, 24, 720, 5040, 40320, ...

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all permutations for $n \geq 6$

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all permutations for $n \geq 6$

So the relation given by $P = \{123 \leftrightarrow 321\}$ (with no adjacency constraints) is not a particularly interesting example from this standpoint.

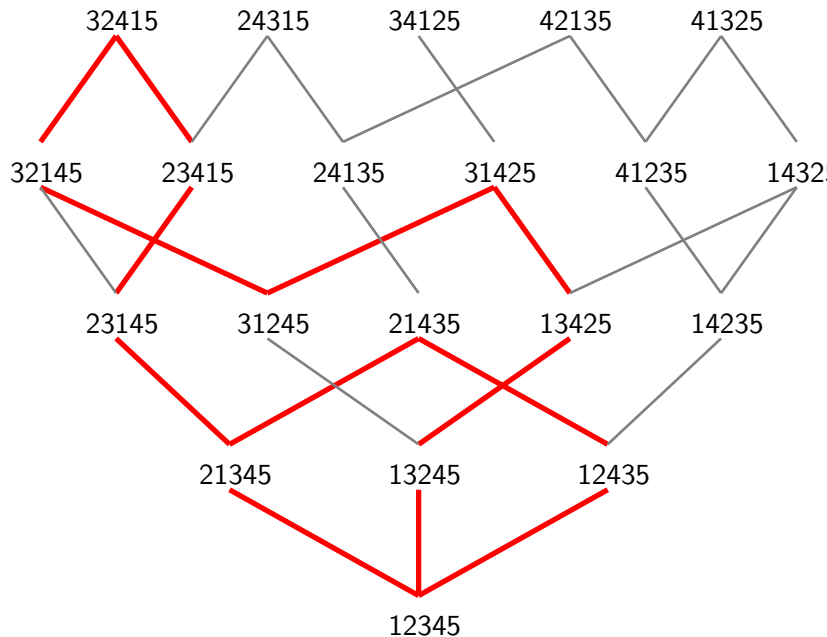
The Right Superior Game

Say that two n -permutations are equivalent if they differ by an **adjacent** transposition

$a_i a_{i+1} \leftrightarrow a_{i+1} a_i$, where both inequalities $a_i < a_{i+2}$ and $a_{i+1} < a_{i+2}$ hold.

$$P_2^{\text{II}} = \{123 \leftrightarrow 213\}.$$

Graph of RSUP Game



How many permutations are equivalent to the identity?

Try to figure this out for $n = 3, 4, 5$!

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n	3	4	5	6	7	8	9	10
$\#\text{Eq}^\circ(\iota_n, P)$	2	4	12	36	144	576	2880	14400

Do you see a pattern in these numbers?

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Theorem 1. For the Right Superior Game, the number of n -permutations in the equivalence class of the identity is

$$\lfloor n/2 \rfloor! \lceil n/2 \rceil!$$

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Theorem 1. For the Right Superior Game, the number of n -permutations in the equivalence class of the identity is

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i.e., for $n = 2r$, $\#\{\pi : \pi \leftrightarrow 123 \dots n\} = r!r!$.

and for $n = 2r + 1$, $\#\{\pi : \pi \leftrightarrow 123 \dots n\} = r!(r + 1)!$.

Proof that this is an upper bound.

Proof that this is an upper bound:

The largest element must be in the rightmost position. (Why?)

This implies that the second-largest element must be in one of the three rightmost positions. (Why?)

This implies that the third-largest element ...

Now, placing the elements from largest to smallest, we have the following number of choices for each placement:

$$1 \cdot 2 \cdot 3 \cdot \dots \cdot \lceil n/2 \rceil \cdot \lfloor n/2 \rfloor \cdot \dots \cdot 3 \cdot 2 \cdot 1$$

Proof that the upper bound is attained

Proof of equality.

It remains to show that all permutations meeting these constraints are in fact reachable.

Imagine a target permutation meeting the constraints. That is, the first element (even case) or first two elements (odd case) are less than $\lceil n/2 \rceil + 1$, the next two elements are less than $\lceil n/2 \rceil + 2$, etc.

Target: kgf0diPahNQcbTRjUmSVWXe1YZ

Step one. Advance all the “large” elements as far as they will go by rippling them forward:

Proof of Attainability

.....NOPQRSTUVWXYZ
.....N.OPQRSTUVWXYZ
.....NO.PQRSTUVWXYZ
.....NOP.QRSTUVWXYZ
.....NOPQ.RSTUVWXYZ
:
.....NOPQRSTUVWXYZ.Z
:
:
.....NOPQRSTUVWX.Y.Z
:
:
.....NOPQRSTUVW.X.Y.Z
:
:
:
.N.O.P.Q.R.S.T.U.V.W.X.Y.Z

Proof of Attainability 2

Target: kgf0diPahNQcbTRjUmSVWXe1YZ

.N.O.P.Q.R.S.T.U.V.W.X.Y.Z

Now observe that the “small” elements can be permuted freely while leaving the “large” elements in place.

$$fRjs \mapsto \mapsto jRfS.$$

Proof of Attainability 2

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$$fRjs \mapsto fjRS \mapsto jfRS \mapsto jRfS.$$

Step two. Using this observation, move the correct element into the first position. (In the odd case, move the two correct elements into the first two positions.) Because the target permutation obeys the constraints, this element (or pair of elements) will be small compared with the fixed skeleton of large elements which is facilitating their movement.

kN.O.P.Q.R.S.T.U.V.W.X.Y.Z

Target: kgf0diPahNQcbTRjUmSVWXe1YZ

Continue to place elements two at a time:

kN.O.P.Q.R.S.T.U.V.W.X.Y.Z

kgfO.P.Q.R.S.T.U.V.W.X.Y.Z

kgfOdP.Q.R.S.T.U.V.W.X.Y.Z

kgf0diPQ.R.S.T.U.V.W.X.Y.Z

kgf0diPahR.S.T.U.V.W.X.Y.Z

:

kgf0diPahNQcbTRjUmSVWXe1YZ



As one might expect, this algorithm constructs some permutations efficiently, but not others.

Construction of the “furthest” permutation 32145:

1 2 3 4 5

1 3 2 4 5

1 3 4 2 5

3 1 4 2 5 <-- build the skeleton

3 1 2 4 5 <-- 3 is already at front, so advance 2

3 2 1 4 5 <-- we're there, stop!

An example where the algorithm is inefficient, 12435:

1 2 3 4 5

1 3 2 4 5

1 3 4 2 5

3 1 4 2 5 <-- build the skeleton

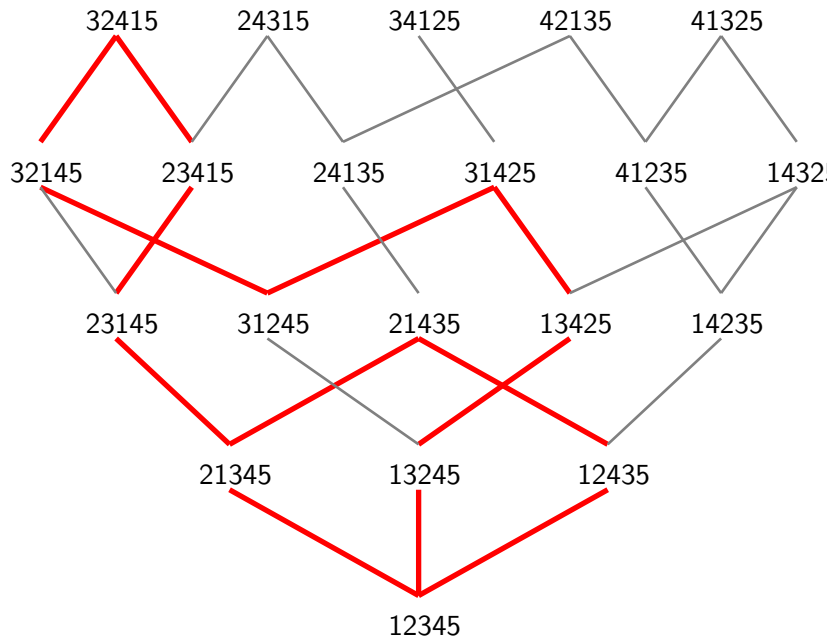
1 3 4 2 5 <-- advance 1 (it just came from there!)

1 3 2 4 5)

1 2 3 4 5) <-- 3-step procedure for advancing 2

1 2 4 3 5)

Graph Again



Propp's Proposition

From: James Propp <jpropp@cs.uml.edu>
Date: Wed, 8 Jul 2009 17:07:07 -0400
Subject: two hundred and ten questions

:
:

I'd like to know the partition of $n!$ determined by the transitive closure of each of the following seven relations on S_n :

:

The two most interesting numbers are probably the number of components and the size of the component containing the permutation $1, 2, 3, \dots, n$.

:

I should say that I want this information for `_three_` distinct interpretations of what " $123 \leftrightarrow 213$ " means:

- (a) In the narrowest sense, it could mean that if $\pi(i+1) = \pi(i)+1$ and $\pi(i+2) = \pi(i)+2$, then you can swap the values of $\pi(i)$ and $\pi(i+1)$.
- (b) More broadly, it could mean that if $\pi(i) < \pi(i+1) < \pi(i+2)$, then you can swap the values of $\pi(i)$ and $\pi(i+1)$.
- (c) More broadly still, it could mean that if $\pi(i) < \pi(j) < \pi(k)$ for $i < j < k$, then you can swap the values of $\pi(i)$ and $\pi(j)$.

Jim

General Framework

- Consider interchanges of subwords of “type” $\sigma_1 \leftrightarrow \sigma_2$, where $\sigma_i \in S_3$.
- As Jim described, this can be taken in three sense: (a) both indices and values must be adjacent; (b) entries must be in adjacent positions; (c) unrestricted in value or position
- Restricting entries to be adjacent values (but not necessarily positions) is equivalent to (b) by the map that sends $\pi \rightarrow \pi^{-1}$.
- In theory one could consider any of the $B(6) = 203$ partitions of S_3 as defining a relation (or three) of this type, although some of these will be trivially equivalent.
- To keep the problem within bounds, we currently consider only sets of relations of the form $\iota_3 \leftrightarrow \sigma$, where $\sigma \in S_3$. Equivalently, these are partitions of S_3 with a single nontrivial block (containing ι_3).

How many equivalence classes for each relation?
 $\#Classes(n, P)$

Transpositions	general	indices adjacent	indices & values adjacent
123 \leftrightarrow 132	[5, 14, 42, 132, 429]	[5, 16, 62, 284, 1507, 9104]	[5, 20, 102, 626, 4458, 36144]
123 \leftrightarrow 213	Catalan		
123 \leftrightarrow 321	[5, 10, 3, 1, 1, 1] trivial	[5, 16, 60, 260, 1260, 67442]	[5, 20, 102, 626, 4458, 36144]
123 \leftrightarrow 132 \leftrightarrow 213	[4, 8, 16, 32, 64, 128] powers of 2	[4, 10, 26, 76, 232, 764] involutions/Chinese Monoid	[4, 17, 89, 556, 4011, 32843]
123 \leftrightarrow 132 \leftrightarrow 321	[4, 2, 1, 1, 1, 1]	[4, 8, 14, 27, 68, 159, 496]	[4, 16, 84, 536, 3912, 32256]
123 \leftrightarrow 213 \leftrightarrow 321	trivial		
123 \leftrightarrow 132 \leftrightarrow 213 \leftrightarrow 321	[3, 2, 1, 1, 1, 1] trivial	[3, 4, 5, 8, 11, 20, 29, 57]	[3, 13, 71, 470, 3497]

Size of class containing identity: $\#Eq^*(\iota, P)$

Transpositions	general	indices adjacent	indices & values adjacent
123 \leftrightarrow 132	[2, 6, 24, 120, 720]	[2, 4, 12, 36, 144, 576, 2880]	[2, 3, 5, 8, 13, 21, 34, 55]
123 \leftrightarrow 213	(n-1)!	product of two factorials	Fibonacci numbers
123 \leftrightarrow 321	[2, 4, 24, 720] trivial	[2, 3, 6, 10, 20, 35, 70, 126] central binomial coefficients	[2, 3, 4, 6, 9, 13, 19, 28] A000930
123 \leftrightarrow 132 \leftrightarrow 213	[3, 13, 71, 461] connected A003319	[3, 7, 35, 135, 945, 5193] Chinese Monoid	[3, 4, 8, 12, 21, 33, 55, 88] A052952
123 \leftrightarrow 132 \leftrightarrow 321	[3, 23, 120, 720]	[3, 9, 54, 285, 2160, 15825]	[3, 5, 9, 17, 31, 57, 105, 193]
123 \leftrightarrow 213 \leftrightarrow 321	trivial	proven for odd terms	tribonacci numbers A000213
123 \leftrightarrow 132 \leftrightarrow 213 \leftrightarrow 321	[3, 23, 120, 720] trivial	[4, 21, 116, 713, 5030] $n!$ —central Catalan	[4, 6, 13, 23, 44, 80, 149, 273] tribonacci A000073 —[n even]

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Further Work & Open Problems

We've just begun the more general study of these kinds of relations. Plenty of open problems remain, including:

- 1 Find formulae for the unknown data in the table.
- 2 Recall our initial “Intermediary in-between” rule, but in the adjacent context. We prove that

$$\# \text{Eq}^{||}(\iota_n, \{\{123, 321\}\}) = \binom{n-1}{\lfloor (n-1)/2 \rfloor}$$

in a fairly indirect way. Is there a simple combinatorial proof?

- 3 Understand the structure of the graphs one gets on these relations. Are the (like Bruhat order in the unconstrained case) posets?
- 4 Is there a useful length (distance from the identity) function?

- 5 Answer more generally what the sizes of all the equivalence classes are, or whether there's a simple way to characterize them (as insertion tableaux characterizes all permutations which are Knuth equivalent).
- 6 Consider more general relations, defined by partitioning S_3 in different ways (more general block structures or connecting non-transpositions. Or even using relations within S_4 ?
- 7 Pierrot, Rossin, & West (FPSAC 2011) handle the other case of including non-transpositions within a unique non-singleton block containing ι_3 of a partition of S_3 (e.g., $\{123, 231\}$).

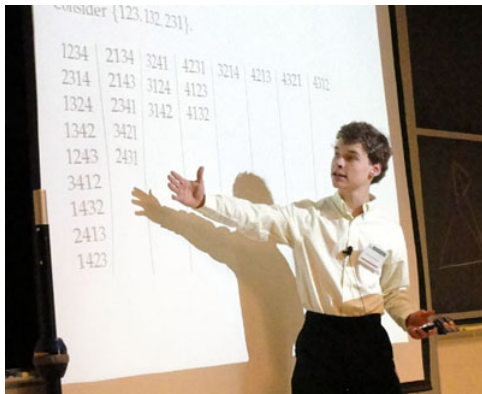
- 8 Pierrot, Rossin, & West (FPSAC 2011) handle the other case of including non-transpositions within a unique non-singleton block containing ι_3 of a partition of S_3 (e.g., $\{123, 231\}$).
- 9 Kuszmaul & Zhou consider the case of moves of adjacent elements generated by cyclic shifts, e.g., for $k = 5$ allowing replacements within

$$\{12345, 23451, 34512, 45123, 51234\},$$

and characterize the non-singleton classes induced in S_n .

- 10 In recent work Kuszmaul [3] finds answers for many of the “doubly-adjacent” cases for which we failed to find formulae. He also provides several interesting generalizations.
- 11 Consider more general relations, defined by partitioning S_3 in different ways (more general block structures or connecting non-transpositions. Or even using relations within S_4 ?

Kuszmaul started working in this area as a high-school student via the PRIMES program at MIT, working with Darij Grinberg. He's gone on to do other prize-winner work, e.g., 3rd place at Intel 2014 (for a different project).



DAVIDSON FELLOWS - 2013 FELLOW WILLIAM KUSZMAUL




William Kuszmaul





\$10,000 Scholarship Recipient

Age: 17
Lexington, MA
Category: Mathematics
Project Title: "Equivalence Classes of Permutations Created Under Pattern-Relations"

- 1 Can the player always win or is it possible to get stuck? **Yes if have at least **six** cards.**
- 2 For an optimal player, what's the maximum number of moves to win? **Don't know.**
- 3 What are good strategies or heuristics for winning? **Don't know.**
- 4 Does the number of cards matter? **Yes! (More positions for seven, but for five. . .)**
- 5 Are there interesting (more fun? better?) variations on this game?

References 1

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Thanks!

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Any questions?